

ORDINANCE – 12

DOCTOR OF LETTERS/SCIENCE/LAWS

I. INTRODUCTION

1. These Ordinances shall be called the Doctor of Science (D. Sc.)/ Doctor of Letters (D. Litt.)/ Doctor of Laws (LL.D.).
2. The degree of D. Sc./ D. Litt./LL.D. shall be conferred on the candidates who fulfill the requirements as specified in these ordinances.
3. The degrees assigned to various faculties are as detailed below:

D.Litt.: Arts, Humanities and Social Sciences, Education, Commerce, Management, Yoga and Physical Education.

D.Sc.: Science, Engineering, Medicine, Engineering Sciences, Ayurved, Home Science, Life Science and Technology.

LL.D.: Laws.

II. ELIGIBILITY

1. A candidate shall be eligible for registration for D. Sc./ D. Litt./LL.D. if he/she holds the degree of Doctor of Philosophy of at least five years standing of this university or any university/deemed university recognized by this university and must have published at least 10 papers in standard research journals or published work including books of high standard adjudged to be equivalent to published research papers by the Research Degree Committee.

III. APPLICATION

1. A candidate for D.Litt./D.Sc./LL.D. degree must apply for registration of his subject on the prescribed application form obtainable on payment of prescribed fee stating :

- a. His qualification and experience.
- b. Subject in which he/she propose to work
- c. The topic of research.

The application shall also be accompanied by:

- (a) Registration fee – As decided time to time by the University.
- (b) A certificate from the Head of the University Teaching Department/School of Studies/Principal of the college affiliated to the university/Head of a Research Institute recognized for the purpose by the university, testifying that adequate

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facilities exist and shall be provided to the candidate if registered. There shall be no supervisor of the candidate and he/she shall have to work independently.

Provided that a candidate, if he/she so desires, may have person (s) of merit in the subject as advisor (s).

- (c) Attested copies of the statements of marks of graduate and master's degree examinations and Ph.D. degree.
- (d) Migration certificate along with enrollment form and the requisite fee.
- (e) List of publications of the candidate together with a copy of each of the publication.
- (f) Ten typed copies of detailed synopsis of the proposed topic of research furnishing present State of- Arts, a review of literature, proposed line of investigation with detailed methodology and relevant bibliography. (App-1)
- (g) Application for registration may be submitted any time during the academic year.

IV. FACULTY RESEARCH COMMITTEE AND RESEARCH DEGREE COMMITTEE

1. Subject to the general superintendence of the Academic Council, a committee, namely, the Faculty Research Committee (FRC) shall deal with all matters connected with the D. Sc./ D. Litt/ LL. D. programme of the University in accordance with these Ordinances. However, the registration shall be formally approved only by a Research Degree Committee

The constitution of the FRC shall be as follows:

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|---|-----------|
| i. Dean of the faculty | Chairman |
| ii. Two experts from the concerned faculty, nominated by Kulpati | Members |
| iii. Minimum one external expert of the concerned field of the rank of University professor to be appointed by Kulpati from the panel of atleast four names given by the Dean | Member |
| iv. Concerned Head of the Department/ Coordinator of the School | Secretary |

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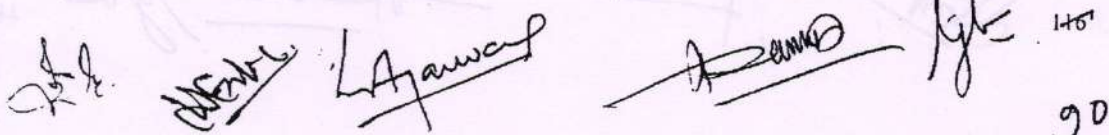
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2. The FRC shall scrutinize the applications of the candidates and shall recommend the eligible candidates for admission, to the Academic section of the University. The FRC shall also send the list of the names of the Advisors of the candidates to the Academic section for communicating to the concerned candidates.
3. The Academic section shall intimate each candidate recommended by the FRC to submit their synopsis.
4. Within three months after the receipt of the letter of scrutiny, the candidate after paying prescribed fee and shall be entitled for submission of synopsis.
5. The constitution of Research Degree Committee shall be as follow:
 - (i) The Kulpati
 - (ii) The senior most Professor of the University in the subject.
 - (iii) Dean of the Faculty.
 - (iv) Head of the University Teaching Department/School of Studies of the concerned subject.
 - (v) Chairman, Board of Studies in the subject.
 - (vi) Two external experts of the concerned field in the rank of University Professor to be appointed by the Kulpati from the panel at least four names given by the Dean of the Faculty after perusal of the detailed synopsis submitted by the candidate.

Two external subject experts and two other members i.e. four in all shall form the quorum. Meeting of Research Degree Committee shall be held in the University Office.

V. ADMISSION

1. The candidate will have to submit synopsis in 10 copies to the Academic Section of the University
2. The application of the candidate recommend by FRC for registration shall be placed before the Research Degree Committee and he/she shall make an oral presentation of the proposed work.
3. Committee shall recommend suitability of the topic of research and the registration of the candidate for the D.Litt/DSc./LL.D. degree, as the case may be. On approval the committee, the candidate shall be deemed to have been registered from the date the meeting or the date of the submission of application in the university.
4. The candidate shall finally be enrolled on payment of the first term fee to university.../or recognized centre or the college affiliated to the university where he/she will conduct the research after payment of the prescribed fee in case the proposal is approved by RDC.
5. Candidate shall ordinarily be permitted to work for D.Litt/D.Sc./LL.D. degree the subject in which he has obtained his Ph.D. degree. He/she may, however, be allowed in a subject, of interdisciplinary nature.

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I. SUBMISSION OF THESIS

1. The candidate, after registration, shall send his six monthly progress report along with certificate of payment of six monthly fees from the Head of the Institution where he/she is working. If two subsequent reports together with the certificate of the payment fees are not received in the office the registration shall stand automatically cancelled.
2. The candidate shall not be allowed to submit the thesis for evaluation earlier than 3 years from the date of his/her registration and not later than 5 years. Further extension of one year may be granted by the Kulpati on valid reasons (s) on payment of a prescribed fee of to the university. After the expiry of this period of extension the registration shall be cancelled.
3. Six months before the candidate intends to submit the thesis after having published three research papers in standard Research Journals or publications of merit after registration for D.Litt./D.Sc./LL.D., the candidate shall inform the Registrar of his intention of submitting the thesis. The Registrar shall arrange for an oral presentation of work by the candidate before a Screening committee.

(a) Screening committee consisting of the following members :

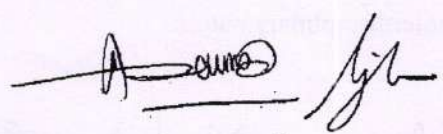
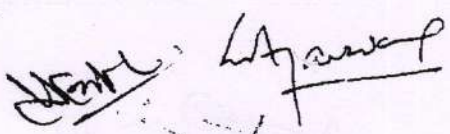
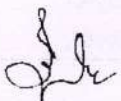
- i. Kulpati
- ii. Senior most Professor of University Teaching department/School of Studies in the subject.
- iii. Dean of the Faculty.
- iv. Head of the University Teaching Department/School of Studies in the subject, if any.
- v. Chairman, Board of Studies of the subject.
- vi. One external subject expert nominated by the Kulpati preferably from amongst the experts of R.D.C. approving the registration of the candidate.

One external subject expert and two other members shall form the quorum for this purpose.

The presentation shall be made at the place/through video Conference facility and time fixed by the University.

(b) The Screening Committee shall have following powers :

- (i) To approve the work for the submission of thesis.



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(ii) To suggest modifications, if any along with reason (s) to be recorded by the committee.

(c) The presentation by the candidate shall be open to all interested and shall be announced on the Notice Board at least a week in advance by the Registrar.

4. The work of the candidate shall comply with the following conditions to merit the award of the degree:

It must be a substantial work making a distinct addition to learning in the concerned subject of the discipline.

It must be original in the sense of opening up new fields of research, or of making a marked advancement on the results of previous investigations, or of giving a new interpretation of the facts already known.

It must be a scholarly work of high quality.

It must be the work done during the last five years before the submission of the thesis.

It must be the work published in reputed journals in the form of research papers and/ or published in the form of books/ monographs, chapter contribution to books/ monographs, etc., out of which at least two must be authored solely by the candidate.

It must not be the work, which has been previously submitted for a degree or a diploma in this or in any other University.

5. The candidate shall submit the thesis as per the following guidelines:

- a. Five copies of the thesis in hardbound form.
- b. The thesis shall contain a statement that the thesis has been submitted for the award of the concerned degree for which the candidate has been admitted.
- c. A soft copy of the thesis in CD.
- d. A declaration by the candidate that the thesis has not been submitted for any other degree or diploma, as per the format given in Annexure - A.
- e. A certificate from the Advisor, Head of the Department/Coordinator of the School and the Chairman of the FRC that the thesis has been submitted for the award of the concerned degree of the University, as per the format given in Annexure - B.
- f. The thesis shall be either in English or in Hindi except for the case where the subject of the thesis itself is a language. In such a case, the thesis may, at the option of the candidate, be in that specific language.
- g. Three independent research papers published in standard journals or publications of merit on the subject of thesis

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6. The Registrar shall obtain from the external expert of the Screening Committee a panel of at least six names including two foreign experts in the subject, not below the rank of University Professor who can be appointed examiners, in a sealed envelope for the consideration of Examination Committee constituted u/s 44 of the Adhiniyam.
7. After being permitted by the Screening Committee referred to above, the candidate shall submit four typewritten copies or photo copies of the thesis, six copies of approved synopsis and six copies of summary together with prescribed examination fee. The published papers/works must be incorporated either as a part of text or as appendix in the thesis. The candidate shall furnish a certificate to the effect that the work embodied in the thesis has not been submitted for the award of any degree either of this university or any other university or deemed university and that it contains his own work.
 - a. On receipt of the thesis the Registrar shall call upon the Examination Committee constituted u/s 44 of the Adhiniyam to draw a panel of six names, taking into consideration the panel submitted by the expert of the screening committee, of the experts in the field of research not below the rank of University Professor, including foreign experts.
 - b. The Kulpati shall appoint three examiners in accordance with the provisions of the Adhiniyam of which one should invariably a foreign examiner.
 - c. The examiners appointed by the Kulpati shall be approached in writing for their consent to evaluate the thesis together with the copies of approved synopsis and the summary of the thesis. On receiving the acceptance the thesis shall be sent to the examiners.

Provided that if a foreign examiner is appointed by the Kulpati and the candidate wishes the thesis to be sent by AIR MAIL he/she shall have to bear the charges of AIR MAIL.
 - d. In the event of the report of the thesis not received within three months from the date of dispatch of the thesis, the Kulpati may cancel the appointment and such examiner shall be asked to return the thesis along with all the documents. The Kulpati shall appoint another examiner in place of such an examiner.
8. The examiner may seek clarification of the subject matter of the thesis from the candidate through the Registrar. The Registrar shall pass on the queries to the candidate without disclosing the identity of the examiner and the clarification obtained from the candidate shall be forwarded to the examiner. The provision shall be incorporated in the letter to be sent to the examiner while sending the thesis.

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9. The examiners must give specific opinion on the following points :
- i. Whether the thesis embodies an original piece of research work characterized by the discovery of new facts or by a fresh approach towards interpretation of facts and theories.
 - ii. How far it evinces candidate's capacity for original thinking, critical examination and sound judgment ?
 - iii. Whether the thesis is satisfactory in point of language and presentation of subject matter.
 - iv. Whether the thesis be approved for D.Litt./D.Sc./LL.D. degree.
 - v. The examiner must also furnish a detailed report on the thesis together with a set of questions to be asked at the time of Viva-voce examination.
 - vi. In case the examiner suggests for an improvement of thesis, he must give a detailed report on the lines on which the thesis be modified and resubmitted.
10. The D.Litt./D.Sc./LL.D. degree shall be awarded on the basis of an original work embodied in the thesis submitted by the candidate alongwith atleast three independent research papers published in standard journals or publications of merit on the subject of thesis
11. (i) If all the three examiners approve the thesis, the candidate shall be called upon to appear at the viva-voce examination as per the provisions of the Ordinance.
- (ii) If two examiners approve the thesis and the third rejects/recommends revision, the thesis shall sent to a fourth examiner (without the reports of earlier examiners) appointed by the Kulpati for evaluation. The opinion of the fourth examiner shall be final.
- (iii) In case all the three examiners recommend revision/rejection or two examiners recommend revision/rejection and the third accepts, the thesis shall stand rejected.
- (iv) In case the candidate is asked by the fourth examiner to revise under section 14(ii) the candidate shall be permitted to revise the thesis on the lines suggested by the examiner(s). The comments of the examiner(s) shall be made available to the candidate without disclosing the identity of the examiner. The candidate shall submit the revised thesis not earlier than six months and not later than eighteen months from the date the candidate is asked to revise the thesis. He/she shall have to pay a fee as prescribed by the University at the time of resubmission.

In case one of the examiners of the revised thesis recommends for the award then the candidate shall be called upon to appear at viva-voce examination. In case both the examiners reject or one reject and the other recommend for revision or both recommend for revision the thesis shall be rejected.

12. (i) If the thesis is finally approved under sections 11 (i), (ii) and (iv) the candidate shall be called upon to appear for a viva-voce examination conducted by atleast two external examiners. All the three examiners shall be invited to conduct the viva-voce examination.
 - (ii) The viva-voce examination shall be conducted at the University Teaching Department/School of Studies on the date, time and place notified by the Registrar which shall be put on the notice board at least a week in advance.
 - (iii) The candidate shall present the work embodied in the thesis before the board of examiners, faculty members, research schoiars and other interested person. After the presentation, the examiners appointed for the viva-voce examination shall ask questions to the candidate. Others may submit their questions in writing to external examiners. Who may put such of those questions to the candidate as they deem fit. The examiners may also ask question beyond the scope of the thesis in order to satisfy themselves that the candidate has adequate knowledge of the subject on which he has submitted the thesis.
 - (iv) In case of divergence of opinion between the thesis examiners an viva-voce examiners or the divergence of opinion between the viva-voce examiner the candidate shall be asked to reappear at a second viva-voce examination within six months. He shall have to pay an additional fee of Rs. 400.00 for second viva-voce. If the candidate fails to satisfy the viva-voce examiners at the second examination, his thesis shall finally be rejected.
13. After the viva-voce examination the recommendations shall be reported to the Executive Council for approval. After the approval of Executive Council for the award of D.Litt./D.Sc./LL.D. degree to the candidate, one copy of the thesis shall be kept in the University Library, another in the Library of the Institution where he/she prosecuted his/her research work, and the remaining two copies shall be returned to the candidate.

The year of award of the degree shall be the year of submission of the thesis provided the thesis is accepted without revision. In case of revision, the year of award of the degree shall be the year of submission of the revised thesis.

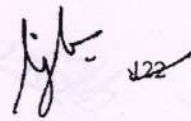
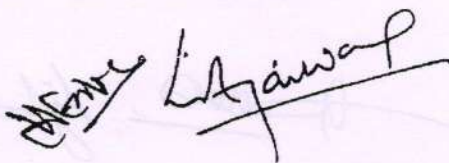
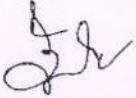
14. After the declaration of the result, the successful candidate on payment of a fee as prescribed by the University may be provided the copies of reports of the examiner without disclosing their names.

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15. The candidates who have already been registered for D.Litt./D.Sc./LL.D. degree under the repealed Ordinance will continue to be governed by the provisions of the repealed Ordinance.

The candidate who have applied for registration but have not been registered till this Ordinance comes into force shall be governed by the provisions of this Ordinance.

16. On detection of any irregularity, the University shall take suitable steps to withdraw the degree as per provisions of section 6(12) of the Adhiniyam.



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DECLARATION BY THE RESEARCH SCHOLAR

I declare that

1. the research work presented in the thesis entitled _____
_____ is my own work except as acknowledged in the text and footnotes.
2. there is no plagiarism in the research work reported in the thesis.
3. I completed the research work under the supervision of Dr. _____ (Supervisor) and Dr. _____ (Co-Supervisor) at the _____ (name of the centre) _____ approved by the University.
4. I have put in more than 200 days of attendance after completing Ph.D. course work with the Supervisor or at the centre.
5. to the best of my knowledge this thesis has not been submitted either in whole or in part, for award of any other degree/ diploma at this University or at any other such Institution.

Besides this-

- (iv) I have successfully completed the Ph.D. Course work as per UGC-Regulations, 2016 norms.
- (v) I have also given a pre-Ph.D. presentation and successfully incorporated the changes suggested on the basis of feedback and comments received.
- (vi) I have published ___(number)___ research paper(s) in referred journal(s) and presented ___(number)___ research papers in conferences / seminars from the research work of the thesis. I have also produced evidence of the same in the form of reprints and/or presentation certificates.

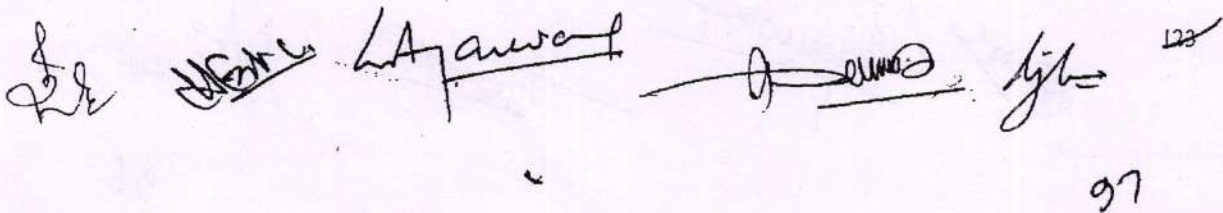
Date: _____

Signature of the Research Scholar

Forwarded by

Signature of the Supervisor

Signature of the Co-Supervisor

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CERTIFICATE OF THE SUPERVISOR

CERTIFICATE

This is to certify that the work entitled _____ is a piece of research work done by Shri/Smt./Ku. _____ under my/our supervision for the award of degree of Doctor of Philosophy of -----Name of the University-----, India. That the candidate has put in an attendance, of more than 200 days after completing Ph.D. course work, with me.

To the best of my knowledge and belief the thesis:

1. embodies the research work done by the candidate himself/herself.
2. has duly been completed.
3. fulfills the requirements of the Ordinance relating to the Ph.D. degree of the University, and
4. is upto the standard both in respect of contents and language for being referred to the examiner.

Signature of the Supervisor

Signature of the Co-Supervisor

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Signature of Head /Principal of the Research Centre

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